

**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

3594-14

10/613,319

APPLICANT

HARRINGTON et al.

FILING DATE

GROUP

07 July 2003



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

*Examiner

/Jamie Swartz/

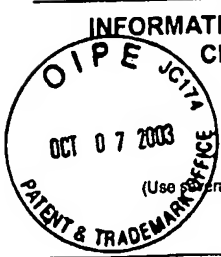
Date Considered

~~01/25/2007~~

*Examiner /Jamie Swartz/

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

781384



**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

3594-14

10/613,319

APPLICANT

HARRINGTON et al.

FILING DATE

GROUP

07 July 2003

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

JS	✓	Stirland, Sarah, "Wall Street Is Rattled by Software That Can Remake Competitive Deals," THE BOND BUYER (8/21/96)
JS	✓	Parity Reference manual, Version 2.7
JS	✓	BIDCOMP brochure, "When you've been on the underwriting desk as long as we have - you've got to be better than good. (1990)
JS	✓	Running the Parity program, pp. 1-14
JS	✓	Thomas, Rick, President MuniBid Inc., "Wall Street Players Shouldn't Block New Bidding Technology," THE BOND BUYER (10/21/96)
JS	✓	Whalen, Robert, "Pittsburgh Will Be First Issuer To Auction Bonds On The Web Maturity By Maturity," THE BOND BUYER (11/13/97)
JS	✓	Cohen Jodi B., "MuniAuction Takes Show on the Road, Pitches Case to Potential Customers," THE BOND BUYER (2/17/98)
JS	✓	Cullen, Terri, "Internet Auction Site for Muni Bonds Could Spark Changes in Credit Markets," THE WALL STREET JOURNAL (3/16/98)
JS	✓	Shields, Yvette, "Panelists at Odds Over Internet's Maturity Bidding," THE BOND BUYER (5/22/1998)
JS	✓	Letter from Peter K. Trzyna, Esq. dated 2/21/01
JS	✓	Letter from Peter K. Trzyna, Esq. dated 3/1/99
JS	✓	Grant Street Group Products & Services, http://www.grantstreet.com/information/products.html , 2 pages, copyright 1997-2001
JS	✓	Grant Street Group Information, http://www.grantstreet.com/information/ , 3 pages, copyright 1997-2001
JS	✓	Hershey Jr., Robert D., "Trading In Bonds On Line, At Last," THE NEW YORK TIMES (6/27/99)
JS	✓	Pro, Johnna, City first in nation to use Internet to market, sell municipal bonds," PITTSBURGH POST-GAZETTE (11/19/97)
JS	✓	"Municipals Sales & Trading," A collection of Bloomberg Magazine Articles
JS	✓	"A Review of Electronic Trading Systems in the U.S. Fixed Income Securities Markets, THE BOND MARKET ASSOCIATION (10/97)
JS	✓	DALCOMP brochure, "A Thomson Financial Services Company"
JS	✓	Press release, "Dalcomp Purchases Parity" (1/5/98)
JS	✓	Thomson Municipal Group brochure, "Integrated Technology"
JS	✓	http://www.BidOhio.com , Introduction, Internet materials (1/8/01)
JS	✓	Richtmyer, Richard, "Muni Players Leery of Grant Street's Foray Into On-Line Bidding," THE BOND BUYER, 6/11/97
JS	✓	Whalen, Robert, "Pittsburgh Uses Internet For Pioneering GO Sale," THE BOND BUYER (11/19/97)
JS	✓	Whalen, Robert, "Pittsburgh Returns to Internet With \$257 Million Pension Deal," THE BOND BUYER (2/4/98)
JS	✓	Whalen, Robert, "Pittsburgh Pension Deal Blazes Maturity-By-Maturity Trail, THE BOND BUYER (2/5/98)
JS	✓	Notice of Sale, \$167,440,000 City of Pittsburgh, Pennsylvania, General Obligation Refunding Bonds, Series A of 1996
JS	✓	Clark, Kim, "On the Frontier of Creative Finance: How Wall Street Can Securitize Anything," FORTUNE (4/28/97)
JS	✓	Bencivenga, Dominic, "Pioneer Deal Uses Copyright to Raise Capital, THE NEW YORK LAW JOURNAL (5/15/97)
JS	✓	Extracts from Videotape Deposition of DANIEL VERES, pp. 102-109 (3/20/03)

*Examiner

/Jamie Swartz/

Date Considered _____

~~01/25/2007~~

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

781384

INFORMATION DISCLOSURE
CITATION

(Use several sheets if necessary)

Atty. Docket No.

3594-14

Applicant

HARRINGTON et al.

Filing Date

07 July 2003

Serial No.

10/613,319

TC/AU.

3625

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JS	5,497,317	06/1996	Hawkins et al.			
JS	5,774,880	06/1998	Ginsberg			
JS	5,812,987	09/1998	Luskin et al.			
JS	5,866,889	02/1999	Weiss et al.			
JS	6,009,412	12/1999	Storey			
JS	6,131,810	10/2000	Weiss			
JS	6,317,727	11/2001	May			
JS	6,354,490	03/2002	Weiss et al.			
JS	6,415,269	07/2002	Dinwoodie			
JS	6,421,653	07/2002	May			
JS	6,560,580	05/2003	Fraser et al.			
JS	2002-0082980A1	06/2002	Dinwoodie			
JS	2002-0099651A1	07/2002	May			
JS	2003-0069753A1	4/2003	Brown			
JS	2003-0093360A1	05/2003	May			
JS	2003-0220868A1	11/2003	May			
JS	2003-0229571A1	12/2003	May			
JS	2003-0195839A1	10/2003	Dinwoodie			
JS	2003/0220868A1	11/2003	May			
JS	2003-0229571A1	12/2003	May			
JS	2004-0015430A1	01/2004	May			
JS	2004/0015431A1	01/2004	May			

FOREIGN PATENT DOCUMENTS

TRANSLATION

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
JS	WO 99/19821	4/1999	PCT				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

*Examiner	/Jamie Swartz/	Date Considered	01/25/2007
-----------	----------------	-----------------	------------

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

SERIAL NO.

3594-14

10/613,319

APPLICANT

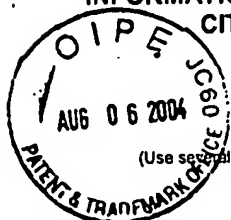
HARRINGTON et al.

FILING DATE

TC/A.U.

07 July 2003

3625



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JS	3,581,072	05/1971	Nymeyer			
JS	4,789,928	12/1988	Fujisaki			
JS	4,903,201	02/1990	Wagner			
JS	4,980,826	12/1990	Wagner			
JS	5,003,473	03/1991	Richards			
JS	5,077,665	12/1991	Silverman et al.			
JS	5,101,353	03/1992	Lupien et al.			
JS	5,136,501	08/1992	Silverman et al.			
JS	5,227,967	07/1993	Bailey			
JS	5,230,048	07/1993	Moy			
JS	5,231,571	07/1993	D'Agnostino			
JS	5,243,515	07/1993	Lee			
JS	5,270,922	12/1993	Higgins			
JS	5,375,055	12/1994	Togher et al.			
JS	5,497,317	03/1996	Hawkins et al.			
JS	5,502,637	03/1996	Beaulieu et al.			
JS	5,724,524	03/1998	Hunt et al.			
JS	5,774,880	06/1998	Ginsberg			
JS	5,794,207	08/1998	Walker et al.			
JS	5,794,212	08/1998	Mistr, Jr.			
JS	5,797,127	08/1998	Walker et al.			
JS	5,802,501	09/1998	Graff			
JS	5,809,483	09/1998	Broka et al.			
JS	5,845,266	12/1998	Lupien et al.			
JS	5,857,176	01/1999	Ginsberg			
JS	5,862,223	01/1999	Walker et al.			
JS	5,905,974	05/1999	Fraser et al.			
JS	5,905,975	05/1999	Ausubel			
JS	5,915,209	06/1999	Lawrence			
JS	6,023,685	02/2000	Brett et al.			
JS	6,029,146	02/2000	Hawkins et al.			
JS	6,115,698	09/2000	Tuck et al.			
JS	6,167,384	12/2000	Graff			
	6,201,398	02/2000	Ausubel			
	mishnumbered					

• Examiner

/Jamie Swartz/

Date Considered

01/25/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 809; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

855222

INFORMATION DISCLOSURE
CITATION

ATTY. DOCKET NO.

SERIAL NO.

3594-14

10/613,319

APPLICANT

HARRINGTON et al.

(Use several sheets if necessary)

FILING DATE

TC/A.U.

07 July 2003

3625

FOREIGN PATENT DOCUMENTS

TRANSLATION

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
JS WO 98/34187	08/1998	PCT				

*Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)		
JS	MARKETING AGREEMENT (11/22/1994), TF 002557 – TF 002569		
	SECURITIES AND EXCHANGE COMMISSION, 17 CFR Parts 200, 228, 229, 230, 232, 239, 240, 270 and 274, "Use of Electronic Media for Delivery Purposes," (5/9/1996), TF 005695-TF 005723		
	SECURITIES AND EXCHANGE COMMISSION, 17 CFR Parts 228, 229, 230, 232, 239, 240, 249, 250, 259, 260, 269 and 274, "Rulemaking for EDGAR System," (12/19/1994), TF 005724-TF 005794		
	SECURITIES AND EXCHANGE COMMISSION, 17 CFR Part 240, "Municipal Securities Disclosure," TF 005795-TF 005851 (11/10/1994)		
	"Competitive Bond Offerings," (10/08/1997) TF 005942		
	"There's a new standard in standard calculations," TIPS, Summit, NJ, (01/1996), TF 012027-TF 012047		
	PARITY, "Running the PARITY program," (1997 Twenty First Century Municipals, Inc.), TF 012406-TF 012421		
	PARITY, "A Real-Time, No-Risk Bidding Network From 21 st Century Municipals," (1992), TF 012542-TF 012546		
	BONDBIDDER, "User's Guide Version 4.2," Financial Systems Group, Inc., Matthews, NC, TF 012645-TF 012691		
	MARKETING AGREEMENT, (11/22/1994), TF 0012750 – TF 012758		
	Service Mark Application – Principal Register Based on Intent to Use, Mark: PARITY and Design, (3/25/1992), TF 012759- TF 012761		
	Trademark Interview and Amendment Record, (6/4/1992), TF 012764-TF 012766		
	Notice of Acceptance of Statement of Use, Mark: PARITY, (2/26/1993), TF 012768		
	Certificate of Registration No. 1772581, (5/18/93), TF 012771-TF 012772		
	21 st Century Municipals, Inc., "CUSTOMER INVOICE ANALYSIS" 01/01/96 TO 12/31/96, (4/14/97), TF 012773		
	Brochure, MuniBid, "Optimizing Bond Sales for the Tax-Exempt Issuer," TF 012795-TF 012796		
	Resnick, Amy B., "Parity On-Line Bidding Program Wins Positive Reviews," TF 012797		
	PARITY bidding system, TF 012813-TF 012818		
	Schory, Brenda, Kane County Chronicle, "Electronic Bid Option," TF 012819, TF013802 (5/27/1993)		
	PARITY Reference Manual, Version 1.22, (1993), TF 012820-TF 012852		
	Bond Buyer Correspondence (1994), TF 012854-TF 012857		
	"THE ELECTRONIC BID SUBMISSION SYSTEM," TF 012858-TF 012866		
V	7/9/1993 Letter to Dalcomp from The Bond Buyer		
*Examiner	/Jamie Swartz/	Date Considered	01/25/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

INFORMATION DISCLOSURE
CITATION

ATTY. DOCKET NO.

3594-14

SERIAL NO.

10/613,319

APPLICANT

HARRINGTON et al.

(Use several sheets if necessary)

FILING DATE

07 July 2003

TC/A.U.

3625

*Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)
JS	6/14/93 fax to American Banker-Bond Buyer, TF012871-TF012872
	Notes, TF 012873-TF 012877
	Bond Buyer Memo, "(12/4/92), TF 112878
	Bond Buyer Marketing Plan and correspondence, (7/7/1992), TF 012881-TF 012883
	Notes, (1993), TF 012884-TF012896
	10/13/1994 memo from David Landes, TF 012906-TF 012908
	10/27 notes, TF 012921-TF 012923
	11/1994 notes, TF 012925-TF 012933
	PARITY BID FORM, (1995), TF 012934-TF 012937
	Parity, "Product Description," TF 012940-TF 012944
	Deal Analysis Sheets, (1997), TF 013082-TF 013255
	Deal Analysis Sheets, (1996), TF 013261-TF 013634
	Audio Tape, GFOA 1996 Conference, Tuesday Morning General Session, "Technologies Beyond the Finance Office," C. Toregas, et al., TF054432
	Audio Tape, GFOA 1996 Conference, Opening General Session, T. Riordan; Mayor V. Kata, President's Address; Keynote - J. Connors, TF054433
	Audio Tape, GFOA 1996 Conference, "Reengineering the Finance Office," K. Keith, et al., TF 054434
	Audio Tape, GFOA 1996 Conference, "Offering Debt Information on the Internet," A. Anders, et al., TF 054435
	Audio Tape, GFOA 1996 Conference, "www Home Pages for Governments," B. Redlin et al., TF 054436
	Audio Tape, GFOA 1996 Conference, "Debt Affordability Analysis, A Comparative Approach," T. Firestone, et al., TF 054437
	PARITY, "HOST COMPUTER OPERATING GUIDE," TF 01365-TF 013650
	Fax from Landes to Dalcomp, BIDCOMP Summary Report, TF 013651-TF013652
	PARITY, "ISSUE VIEWER PROGRAM SCREEN SPECS," TF 013653-TF 013670
	"Task Description and Notes," (04/10/1998), TF 013671-TF 013687
	"Calendar of PARITY issues" and other formats (1998), TF 013688-TF 013694
	Press Release, "Wis. electronic bid test passes without a hitch" (09/15/1998), TF 013703-TF 013704
	Whalen, Robert, THE BOND BUYER, "No Fuss, No Muss for Wisconsin Deal, And Morgan Stanley Wins the Bid," (09/16/1998), TF 013705-TF 013707
	MuniBid brochure, "Optimizing Bond Sales for the Tax-Exempt Issuer," TF 013708-TF 013709
	BidComp disk, TF -13710
	THE BOND BUYER'S WORKSHEETS, BIDCOMP, TF 013711 - TF 013714
	THE BOND SWAP SERIES, Piedmont Software Company, Charlotte, NC, TF 013715 - TF 013728
	PARITY, Reference Manual, Version 1.22 (09/01/1992), TF 013775-TF 013801
	"INSTRUCTIONS FOR ACCESSING ISSUER PROGRAM" (PARITY 1992-1994), TF 013805 - TF 013813
	"HOST COMPUTER OPERATING GUIDE," TF 013814-TF 013823
	PARITY, "Running the PARITY program," TF 013829-TF 013843 (PARITY 1993-1995)
	"NOW, ALL THE POWER OF BIDCOMP CAN FIT IN YOUR PC," TF 013944-TF 013946
*Examiner	/Jamie Swartz/
	Date Considered
	01/25/2007

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 608; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

INFORMATION DISCLOSURE
CITATION

ATTY. DOCKET NO.

3594-14

SERIAL NO.

10/613,319

APPLICANT

HARRINGTON et al.

(Use several sheets if necessary)

FILING DATE

07 July 2003

TC/A.U.

3625

*Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)
JS	FSG, "SYSTEM DESCRIPTIONS," Financial Systems Group, Inc., Matthews, NC, TF 014000-TF 014008
	FSG, Financial Systems Group, Inc., TF 014009-TF 014013
	PARITY, "Reference Manual, Version 2.7," TF 014016-TF 014051
	Government Finance Officers Association, "Technology and Public Finance, 90 th Annual Conference, Portland, Oregon," (May 19-22, 1996), TF 054143- TF 054187
	Article, "Fax Your Bid to Brevard"
	"Bid Submission Confirmation" (July 21, 1992)
	"Bidcomp Screen," "Parity Bid Entry Screen," text TF 002565
	Brochure: "It's the SIA/PSA Standard Securities Calculations Software Library. Produced by TIPS." TF 012033, 012035
	Add
	"BONDBIDDER User's Guide, Version 4.2," Financial Systems Group, Inc., TF 012645-TF 012691
	Citations TF 050079-TF 050145 (printed 10/1/01)
	RetroSearch, 9/13/2001 TF 050146-TF 050150
	Citations TF 050151-TF050179 (printed 10/1/01)
	Citations TF 050180-TF050185 (printed 9/13/01)
	Citations TF 050186-TF 050338 (Printed 9/13/01)
	Citations TF 050339-TF 050501 (Printed 10/2/01)
	Citations TF 050502-TF 050530 (Printed 2/6/02)
	Citations TF 050531-TF 050534 (Printed 2/6/02)
	Citations TF 050535-TF 050706 (Printed 2/6/02)
	Citations TF 050707-TF 050770 (Printed 2/6/02)
	Citations TF 050771-TF 050775 (Printed 10/2/01)
	Citations TF 050776-TF 050780 (Printed 10/2/01)
	Citations TF 050781-TF 050787 (Printed 10/2/01)
	Citations TF 050788-TF 050864 (Printed 10/2/01)
	Citations: TF 054133-TF 954135 (Printed 4/18/02)
	Bureau of the Public Debt online, "Public Debt's Strategic Plan 1997-2001" TF 050950-TF 050962, TF 050867-TF 050879
	Government Finance Officers Association – GFOA.ORG, TF 050963-TF 050987 (Copyright 2002)
	Yahoo Premium Document Search, Search results, TF 050988-TF 051013 (Printed 2/6/02)
	"Bidding Wars" TF 051014-TF 051016 (10/10/1997)
	"Poway set to auction land in industrial part. (South Poway Business Park)," San Diego Business Journal, TF 051017-TF 051018 (7/3/1995)
	Edwards, Cheryl L., "Open Market Operations in the 1990s," Federal Reserve Bulletin, pp 859-874, TF 051045-TF 051060 (11/1997)
	"ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for The Computer Museum in Boston," TF 051625-TF 051627 (5/24/1995)
	Siegmann, "Nowhere to go but up," PC WEEK, v. 12, n. 42, TF 051628-TF 051630 (10/23/1995)

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

INFORMATION DISCLOSURE CITATION	ATTY. DOCKET NO.	SERIAL NO.
	3594-14	10/613,319
(Use several sheets if necessary)	APPLICANT	
	HARRINGTON et al.	
	FILING DATE	TC/A.U.
	07 July 2003	3625

*Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)
JS	"INTERNET BOOMS – Onsale joins fray as online shopping picks up speed," COMPUTER RESELLER NEWS, p. 73 TF 051631, 051632 (6/5/1995)
	Federal Reserve Bank of New York Research and Market Analysis Group, "Publications & Other Research 1997," TF 051779-TF 051822
	Bartolini, L. and Cottarelli, C., "Designing Effective Auctions for Treasury Securities," FEDERAL RESERVE BANK OF NEW YORK CURRENT ISSUES IN ECONOMICS AND FINANCE, Vol. 3, number 9, TF 051817-TF 051822, TF 050003-TF 050073 (7/1997)
	Federal Reserve Bank of New York Research and Market Analysis Group, "Publications & Other Research 1995," TF 051826-TF 051863
	Reinhart, V., "An Analysis of Potential Treasury Auction Techniques," FEDERAL RESERVE BULLETIN (June 1992) TF 051893-TF 051903
	Mester, Loretta J., "There's More than One Way to Sell a Security: The Treasury's Auction Experiment," BUSINESS REVIEW (July/August 1995) TF 051906-TF 051920
	Friedman, Milton, "How to Sell Government Securities," THE WALL STREET JOURNAL, p. A8 (8/28/1991), TF 051921-TF 051923
	Stevens, E.J. and Dumitru, Diana, "Auctioning Treasury Securities," ECONOMIC COMMENTARY FEDERAL RESERVE BANK OF CLEVELAND (6/15/1992) TF 051924-TF 051927
	Brochure, "The Finance Officer and The Communities of Tomorrow," Conference dates: June 1-4, 1997, Exhibit dates: June 1-3, 1997, Application/Contract for Exhibit Space, Registration Form, TF 051928-TF 051935
	Preliminary Conference Program, "The Finance Officer and The Communities of Tomorrow," 91 st Annual Conference, June 1-4, 1997, TF 051937-TF 051952
	"Joint Report on the Government Securities Market," TF 051953-TF 052148 (January 1992)
	Miller, Preston J. and Roberds, William, "How Little We Know About Deficit Policy Effects," FEDERAL RESERVE BANK OF MINNEAPOLIS QUARTERLY REVIEW WINTER 1992, TF 052149-TF 052168
	Umlauf, Steven R., "An empirical study of the Mexican Treasury bill auction," JOURNAL OF FINANCIAL ECONOMICS 33 (1993) 313-340. North-Holland, TF 052169-TF 052196 (1993)
	Brochure, "Integrated Technology," Thomson Municipal Group, TF 002702-TF 002706
	White, Wilson, "BASICS, The Municipal Bond Market," TF 006782-TF-007043 (1985)
	FUNDAMENTALS OF MUNICIPAL BONDS, Fourth Edition, Public Securities Association, TF 007044-TF 007280 (1990)
	GLOSSARY OF MUNICIPAL SECURITIES TERMS, First Edition: 1985 Municipal Securities Rulemaking Board, TF 007281-TF 007396
	Security Industry TRENDS, An Analysis of Emerging Trends in the Securities Industry, Vol. XXII, No. 4, "America's Financial Markets – A Global Capital Pool," TF 010721-TF 010732 (8/30/1996)
	Security Industry TRENDS, An Analysis of Emerging Trends in the Securities Industry, Vol. XXII, No. 3, "Securities Industry Performance: 1H96," TF 010734-TF 010764 (7/18/1996)
	Whalen, Robert, "News and Trends: Market Keen on Dalcomp acquisition, Expanded Platform for Internet Deals," THE BOND BUYER, TF 012295-TF 012297 (3/4/1998)
	Ulick, Jake, "Strong Demand For New Deals Uplifts Munis, Slashes Yields," THE BOND BUYER, TF 012298-TF 012299 (2/4/1998)
✓	Prospero, L., "Munis Unchanged As Busy New-Issue Session Begins," DOW JONES NEWSWIRES, TF 012300 (2/3/1998)

*Examiner	/Jamie Swartz/	Date Considered	01/25/2007
-----------	----------------	-----------------	------------

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 809; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

INFORMATION DISCLOSURE CITATION	ATTY. DOCKET NO.	SERIAL NO.
	3594-14	10/613,319
(Use several sheets if necessary)	APPLICANT	
	HARRINGTON et al.	
	FILING DATE	TC/A.U.
	07 July 2003	3625

*Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)
JS	Prospero, L., "Munis -2: Pittsburgh To Sell \$257M Bonds Via Internet," DOW JONES NEWSWIRES, TF 012301- TF 012302 (2/2/1998)
	Rannazzisi, J., "Munis End Flat As New Issues Worth \$2 Billion Are Well Received," THE BOND BUYER, TF 012303—TF 012304 (11/19/1997)
	Richtmyer, R., "News and Trends: Muni Players Leery of Grant Street's Foray Into On-Line Bidding," THE BOND BUYER, TF 012305-TF 012307 (06/11/1997)
	Richtmyer, R., "Grant Street Advisers is Setting Up The First Muni Bond Auction Web Site," THE BOND BUYER, TF 012308-TF 012309
	Richtmyer, Richard, "Gaining PARITY: Dalcomp Purchases Electronic Bid System to Exploit Internet," THE DIGEST, Vol. 323, No. 30338, TF 012395-TF 012396 (2/25/1998)
	Brochure, "MuniBid, Optimizing Bond Sales for the Tax Exempt Issuer," TF 012795-TF 012796
	Brochure, "BIDCOMP: Reliability and support, backed by the integrity of The Bond Buyer," TF 013947-TF 013948
	"The 1998 Review of Electronic Transaction Systems in the U.S. Fixed Income Securities Markets," TF 049957-TF 049983 (11/1998)
	"The Bureau of the PUBLIC DEBT, Strategic and Operational Plan 1997-2001," TF 049984-TF 049999
	"1996 Survey on the Use of the Internet and Other On-Line Services by Public Finance Officials," BMLA, Government Finance Officers Assn., TF 050865-TF 050866, TF 054320-TF 054324
	Bureau of the Public Debt online, "Public Debt's Strategic Plan 1997-1002, TF 050867-TF 050879 (Updated 5/20/1999)
	Cammack, Elizabeth B., "Evidence on Bidding Strategies and the Information in Treasury Bill Auctions," JOURNAL OF POLITICAL ECONOMY, TF 052197-TF 052227
	Schmerken, Ivy, "Cleansing The Treasury Auction," WALL STREET COMPUTER REVIEW, Vol. 9, No. 2, 29, TF 053206-TF 053210, TF 054138-TF 054142
	McCright, John S., "Auctioning off the Internet, Delphi, museum to sell wares on web," TF 053211, TF 054136-TF 054137
	K.C., Harlan, Megan, Reviews, "The Week" ENTERTAINMENT WEEKLY, 05/02/97 Issue 377, p 64, TF 053212-TF 053214
	Gordon, Maynard M., "Auctions become high tech. (auto auctions)," DEALER BUSINESS, Vol. 29, No. 7, p. 21, TF 053215-TF 053217(March 1995)
	Mardesich, Jodi, "Onsale takes auction gavel electronic; site offers clearance for end-of-life products," COMPUTER RESELLER NEWS, NO. 691, p. 2, TF 053218-TF 053220 (7/8/1996)
	Elliott, Elaine X., "A New Web Site Gives Agents An In on the Auction Craze," TRAVEL AGENT, TF 053221-TF 053224 (11/18/1996)
	Cyberguide: Learning Lab + Marketplace, NETGUIDE, Vol. 2, No. 8 (08/1995) TF 053345-TF 053349
	"Online Auction House Finds Growth With Second-Hand Technology," WEBWEEK, Vol. Two, Issue Eight, TF 053392-TF 053397 (6/17/1996)
	Ravindran, S. et al., "Strategies for Smart Shopping in Cyberspace," JOURNAL OF ORGANIZATIONAL COMPUTING AND ELECTRONIC COMMERCE 6(1), 33-49, TF 053398-TF 053406 (1996)
	Cheng, Kipp, "On-the-block party; A celeb auction in cyberspace," ENTERTAINMENT WEEKLY, TF 053427(5/2/1997)
	Eng, Paul M., "Auctioning Off Relics Of The Computer Age," BUSINESS WEEK ONLINE, TF 053407 (4/11/1994)
	Eng, Paul M., "Window-Shopping Will Never Be The Same," BUSINESS WEEK ONLINE, TF 053408 (4/11/1994)

*Examiner	/Jamie Swartz/	Date Considered	01/25/2007
-----------	----------------	-----------------	------------

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

INFORMATION DISCLOSURE CITATION	ATTY. DOCKET NO.	SERIAL NO.
	3594-14	10/613,319
(Use several sheets if necessary)	APPLICANT	
	HARRINGTON et al.	
	FILING DATE	TC/A.U.
	07 July 2003	3625

*Examiner Initial	OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)
JS	Citations: TF053409 (printed 10/2/01)
	Lu, Stacy, "Taking In the Sites; Web's On-Line Auctions Are a Browser's Delight," THE NEW YORK TIMES, TF 953410-TF 053412 (12/30/1996)
	Bryant, Adam, "Am I Bid Six? Click to Bid Six!; Airlines Are the Latest to Move to On-Line Auctions," THE NEW YORK TIMES, TF 053413-TF 053416 (5/13/1996)
	Lewis, Peter H., "Company News; Auction of Collectibles on the Internet," THE NEW YORK TIMES, TF 053417-TF 053418 (5/23/1995)
	Plotkin, Hal, "Art Net," FORBES, TF 053419-TF 053422 (4/6/1998)
	Flanagan, William G. et al., "Auctions on the Internet?" FORBES, TF 053423-TF 053424 (12/18/1995)
	"Executive Travel; Business Travel Notes," LOS ANGELES TIMES, TF 053425-TF 053426 (9/28/1995)
	"On-line auctions: Going, going ...," THE ECONOMIST, TF 053428-TF 053429 (5/31/1997)
	Wilder, Clinton, "Sold! On the Web - Internet Shopping Network's First Auction hopes to out-earn its parent," INFORMATION WEEK, TF 053430-TF 053431 (06/16/1997)
	Tomkins, Richard, "Passengers take a seat at the Internet auction: Richard Tomkins on airlines' ventures into cyberspace in their quest to fill unused capacity," FINANCIAL TIMES (LONDON), TF 053432-TF 053433 (6/17/1996)
	Copulsky, Erica, "Art dealers eye Internet as auction mechanism; Cantor Fitzgerald said to be looking seriously," INVESTMENT DEALERS' DIGEST, TF 053434-TF 053435 (11/11/1996)
	Smith, Laura B., "Going...gone; auctions on the Web; includes a related article on RFPs on the Web; PC Week Executive; Internet/Web/Online Service Information," PC WEEK, TF 053436-TF 053440 (08/26/1996)
	Business Wire, "eBay's AuctionWeb: A New Way to Manage Holiday Gift Returns; One Man's Gewgaw is Another's Treasure on Internet Auction Service," TF 053478, 053487 (12/27/1996)
	Business Wire, "eBay's AuctionWeb Tops One Million Bids; Leading Online Auction Service Announces Record Year (12/12/1996) TF 053478-TF 053479, 053487-88
	PR Newswire, "Bonsai Software Introduces the EasyAuction™ Internet Auction Service," TF 053480, 053489 (12/11/1996)
	PR Newswire, "Bonsai Software Unveils Fourth Internet Auction," TF 053481, 053490 (11/11/1996)
	Business Wire, "Internet Retailer ONSALE Successfully Sells \$12,000 Mainframe in Online Auction Over the World Wide Web," TF 053482, 053491 (11/15/1996)
	"Online auction site building strength," COMPUTER RETAIL WEEK, P. 51, TF 053483, 053493 (12/2/1996)
	O'Connell, D., "Aer Lingus holding auction of airline seats on Internet," TRAVEL TRADE GAZETTE UK & IRELAND, p. 15, TF 053484, 053493 (11/6/1996)
	PR Newswire, "Aer Lingus Internet Auction - Update," TF 053484-TF 053485, 053453-54 (8/12/1996)
	PR Newswire, "Aer Lingus Launches Cyber-Values on the Internet; Irish Airline is First European Carrier to Offer Seat Facility," TF 053485-TF 053486, 053494-95 (08/01/1996)
	Lee, Ho Geun & Clark, Theodore H., "Impacts of the Electronic Marketplace on Transaction Cost and Market Structure," INTERNATIONAL JOURNAL OF ELECTRONIC COMMERCE/FALL 1996, Vol. 1, No. 1, pp. 127-149, TF 053496-TF053518 (1996)
	Rockoff, Todd E. and Groves, Michael, "Design of an Internet-based system for remote Dutch auctions," INTERNET RESEARCH: ELECTRONIC NETWORKING APPLICATIONS AND POLICY, Vol. 5, No. 4, pp. 10-16, TF 053519-053525 (1995)

*Examiner	/Jamie Swartz/	Date Considered	01/25/2007
-----------	----------------	-----------------	------------

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

